

# Single-asperity contact mechanics with positive and negative work of adhesion: Influence of finite-range interactions and a continuum description for the squeeze-out of wetting fluids

Martin H. Müser\*

Address: Jülich Supercomputing Centre, FZ Jülich, 52428 Jülich, Germany

Email: Martin H. Müser - m.mueser@fz-juelich.de

\* Corresponding author

## Abstract

In this work, single-asperity contact mechanics is investigated for positive and negative work of adhesion  $\Delta\gamma$ . In the latter case, finite-range repulsion acts in addition to hard-wall constraints. This constitutes a continuum model for a contact immersed in a strongly wetting fluid, which can only be squeezed out in the center of the contact through a sufficiently large normal load  $F_N$ . As for positive work of adhesion, two stable solutions can coexist in a finite range of normal loads. The competing solutions can be readily interpreted as contacts with either a load-bearing or a squeezed-out fluid. The possibility for coexistence and the subsequent discontinuous wetting and squeeze-out instabilities depend not only on the Tabor coefficient  $\mu_T$  but also on the functional form of the finite-range repulsion. For example, coexistence and discontinuous wetting or squeeze-out do not occur when the repulsion decreases exponentially with distance. For positive work of adhesion, the normal displacement mainly depends on  $F_N$ ,  $\Delta\gamma$ , and  $\mu_T$  but — unlike the contact area — barely on the functional form of the finite-range attraction. The results can benefit the interpretation of atomic force microscopy in liquid environments and the modeling of multi-asperity contacts.

## Keywords

Contact mechanics, single-asperity contacts, fluid squeeze-out, nanomechanics, cohesive zone model

## Introduction

The continuum description of single-asperity contact mechanics has received much attention in the last few decades. This is in large parts because it describes force-displacement curves rather accurately down to nanometer scales relevant to atomic force microscopy (AFM) [1-3]. The contributions to the linear elasticity of (frictionless) single-asperity contacts most central to this work are the following: Hertz [4] solved the contact mechanics of a parabolic tip pressed against a substrate for hard-wall repulsion. He found that the contact area  $A_c$  and the separation between the two solids  $d$  both disappear continuously with  $F_N^{2/3}$  as the normal load squeezing the two solids together,  $F_N$ , approaches zero. Derjaguin, Muller, and Toporov (DMT) [5] included adhesion as a long-range interaction, in which case adhesion effectively acts as a normal load that is independent of the contact-radius  $a_c$ . Johnson, Kendall, and Roberts (JKR) [6] solved the problem for short-range adhesion, for which the attractive surface forces act directly at the contact line. Unlike Hertz and DMT, JKR found finite values for  $A_c$  and  $d$  at pull off. Tabor [7] introduced a dimensionless

38 parameter,  $\mu_T$ , now known as Tabor coefficient, allowing one to estimate if a contact is closer to  
 39 DMT or to JKR theory. He actually recognized that DMT and JKR describe the opposite limits  
 40 of long- and short-range forces, respectively. This had not been known before but was soon con-  
 41 firmed in numerical simulations by Muller, Yushenko, and Derjaguin [8]. Lastly, Maugis [9] used a  
 42 cohesive-zone model introduced by Dugdale (MD) and found analytical solutions for intermediate-  
 43 range adhesion at arbitrary values of  $\mu_T$ .  
 44 Although single-asperity, linearly-elastic, adhesive contacts mechanics is a rather mature field [10],  
 45 two key issues remain worth addressing: First, only few studies have considered the case of neg-  
 46 ative work of adhesion [11,12],  $\Delta\gamma < 0$ , specifically finite-range repulsion between two surfaces  
 47 acting in addition to hard-wall repulsion. In particular, the concept of the Tabor coefficient has not  
 48 yet been applied to negative  $\Delta\gamma$ . Therefore, I investigate if there are different regimes for  $\Delta\gamma < 0$  as  
 49 is the case for contacts with  $\Delta\gamma > 0$ , which are classified as JKR for large  $\mu_T$  and as DMT for small  
 50  $\mu_T$ . This includes a study of what parameters determine the behavior near squeeze-out as well as a  
 51 comparison to the behavior near pull-off for  $\Delta\gamma > 0$ . For the latter, it is straightforward to deduce  
 52 from established results how the  $a_c(F_N)$  relation depends on the Tabor coefficient in the DMT and  
 53 the JKR limit. Specifically,  $a_c - a_p \propto (F_N + F_p)^\kappa$  for  $F_N \gtrsim -F_p$ , where  $F_p$  and  $a_p$  are pull-off force  
 54 and pull-off radius, respectively. They both depend on  $\mu_T$  just like the exponent  $\kappa$ , e.g.,  $a_p > 0$   
 55 and  $\kappa = 1/2$  in the JKR limit, while  $a_p = 0$  and  $\kappa = 1/3$  for DMT. In the present comparison of  
 56 squeeze-out (finite-range repulsion) versus pull-off (finite-range attraction), I also study whether  
 57 the exponent  $\kappa$  changes continuously between JKR and DMT or discontinuously — as assumed  
 58 implicitly in the Carpick-Ogletree-Salmeron (COS) model [1].  
 59 The second motivation for this paper is that it has not yet been investigated sufficiently *how* the  
 60 (precise) functional form for adhesive interactions affects contact mechanics – assuming that all  
 61 continuum parameters, from normal load to Tabor coefficient, are identical. It is only established  
 62 that there is little sensitivity in the limits of large and zero Tabor coefficients. Yet, when studying  
 63 contact-mechanics between macroscopic, adhesive, rough surfaces in the context of continuum-  
 64 mechanics, one would want to know how to best reach the JKR limit, which appears to be the rel-  
 65 evant limit for that application. For example, it is used implicitly in Persson theory for nominally  
 66 flat, adhesive surfaces [13]. In fact, the current work was initiated by the desire to add adhesion  
 67 into a Green’s function molecular dynamics (GFMD) code used to model the contact between  
 68 rough surfaces. To model adhesion, one needs to identify a functional form for the finite-range  
 69 surface forces allowing one to reach the JKR limit in an efficient and well-controlled fashion. It  
 70 quickly became clear that doing a clean job is not entirely trivial and that modeling single-asperity  
 71 contacts ought to be better understood first and moreover is interesting in its own right.  
 72 The remainder of this paper is organized as follows: I first introduce the model, sketch the numeri-  
 73 cal methods and discuss difficulties arising in simulations in the limit of large and small Tabor co-  
 74 efficients. Next, I present a brief dimensional analysis motivating the commonly used unit system  
 75 and the Tabor coefficient. The result section opens with adhesive contacts. There, I reproduce some  
 76 established results and investigate how sensitive results are on the details of the interaction model.  
 77 That section also contains a comparison to and an asymptotic analysis of the MD model motivating  
 78 some minor modifications of the empirical COS equations [1]. Next, results on positive adhesion  
 79 are presented before the major results are summarized and conclusions are drawn.

## Theory

### Definition of the model

In this section, the single-asperity contact problem is introduced. As shown in Fig. 1, we consider a stiff, ideally-flat wall positioned in the  $xy$  plane at  $z = 0$  and a linearly-elastic tip of parabolic shape. Its undeformed surface is given by

$$z(r) = r^2/2R, \quad (1)$$

where  $R$  is the radius of curvature and  $r = \sqrt{x^2 + y^2}$  the in-plane distance of the center of the tip from the origin of the coordinate system. The elastic displacement of the tip,  $u(x, y)$  is formally a function of both in-plane coordinates, although the equilibrium solutions only depend on  $r$ . The gap  $g(x, y)$  indicates the distance between the deformed tip and the substrate, i.e.,

$$g(x, y) = z\{r(x, y)\} - u(x, y). \quad (2)$$

It is furthermore assumed that the tip cannot penetrate the substrate. This can be stated as a non-holonomic boundary condition

$$g(x, y) \geq 0. \quad (3)$$

Alternatively, one can formally introduce a short-range, hard-wall repulsion [14]

$$V_{\text{sr}} = \lim_{z_r \rightarrow 0} \int d^2r (f_r z_r) \exp\{-g(x, y)/z_r\}, \quad (4)$$

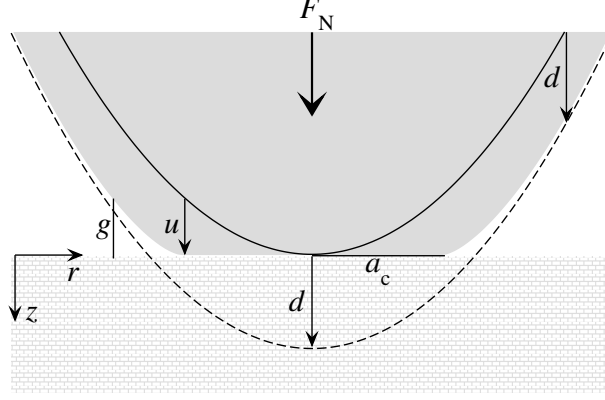
where  $f_r$  is an arbitrary positive constant of unit force per area. Note that the integrand on the r.h.s. of Eq. (4) is zero for finite gaps while it diverges for negative gaps. Depending on the problem, it can be more convenient to use either the non-holonomic boundary condition or the energy-based description of the short-range repulsion.

This work also considers finite-range adhesive or finite-range repulsive interactions  $V_{\text{fr}}$ , which only depend on the local gap. The default expression for it is:

$$V_{\text{fr}} = -\Delta\gamma \int d^2r \exp\{-g(x, y)/z_0\}, \quad (5)$$

where  $\Delta\gamma$  is the work of adhesion per surface area that is obtained when a flat tip touches the substrate in a continuum description. The choice of the functional dependence of  $V_{\text{fr}}$  is not motivated by the true functional form for the interactions between any two real solids, but for the moment being, it is a matter of convenience. Alternative interaction models for the integrand are introduced in a separate section.

An important property of all models for  $V_{\text{fr}}$  is that the interaction is characterized by a prefactor representing the work of adhesion and a single length scale  $z_0$ . The choice of the latter allows one to localize adhesive stress near the contact line through  $z_0 \rightarrow 0$  or to extend the adhesive interactions to radii much exceeding the contact radius  $a_c$  for  $z_0 \rightarrow \infty$ . Of course,  $z_0$  can take any value in between zero and infinity so that intermediate-range interactions can be modeled as well. Note that  $V_{\text{fr}}$  and  $V_{\text{sr}}$  are qualitatively different: The prefactor of the short-range potential is formally zero,



**Figure 1:** Geometry of the deformed tip (upper grey solid), the substrate (lower solid), and the reference tip (solid line). The dotted line represents a hypothetical tip that is allowed to penetrate the substrate the distance  $d$  into the substrate without deforming. The following vectors are introduced in the sketch: Normal load  $F_N$  acting on the center of mass of the tip, the elastic displacement field  $u$ , and the displacement  $d$  of the tip's center of mass. In addition, two scalar quantities, namely the contact radius  $a_c$  and the gap (field)  $g$  are shown.

114 because  $f_r$  is finite and  $z_r$  very small. In other words,  $z_r$  represents the size of an “infinitesimally-  
 115 small” atom whose size is irrelevant on a continuum scale. In contrast, the prefactor of the finite-  
 116 range potential is considered finite as well as the range of interaction  $z_0$ . It represents a “collective”  
 117 length scale, such as the decay length of density oscillations in the fluid [15] or the radius of gyra-  
 118 tion of a polymer.

119 The displacement  $u(x, y)$  and other fields (gap and stress) will be expressed not only in real space,  
 120 but also in Fourier space. This is done most conveniently by using in-plane periodic boundary condi-  
 121 tions. The respective boundaries lie at  $x$  or  $y = \pm L/2$ , which should be chosen such that  $L$  (the  
 122 linear dimension of the simulation cell) is much greater than the linear dimension of the contact  
 123 zone. The latter includes the contact and the area of non-negligible adhesive (or finite-range repul-  
 124 sive forces) stresses. The following convention for the Fourier transform shall be employed

$$125 \quad \tilde{u}(\mathbf{q}) = \frac{1}{A} \int d^2r u(\mathbf{r}) \exp(i\mathbf{q} \cdot \mathbf{r}) \quad (6)$$

$$126 \quad u(\mathbf{r}) = \sum_{\mathbf{q}} \tilde{u}(\mathbf{q}) \exp(-i\mathbf{q} \cdot \mathbf{r}), \quad (7)$$

127 where the wave vector components satisfy  $q_\alpha = 2\pi n/L$ ,  $A = L^2$  is the integration domain, and  $n$  an  
 128 integer number. With these definitions, one can express the elastic energy of the deformed tip (in  
 129 the small-slope approximation) as

$$130 \quad V_{\text{ela}} = \frac{AE}{4} \sum_{\mathbf{q}} q |\tilde{u}(\mathbf{q})|^2, \quad (8)$$

131 where  $E$  is the effective modulus,  $E = E_Y/(1 - \nu^2)$ ,  $E_Y$  being the Young's modulus and  $\nu$  the Pois-  
 132 son ratio. The convention of using the symbol  $E^*$  for the effective modulus is abandoned for clar-  
 133 ity, because primes will be used later to indicate scaled coordinates and scaled parameters.

134 Since  $\tilde{u}(0)$  can be interpreted as the center of mass mode, the effect of a load (or normal force) ex-  
 135 erted on the tip leads to an energy

$$136 \quad V_{\text{ext}} = -F_{\text{N}}\tilde{u}(0). \quad (9)$$

137 When solving the contact problem, one seeks to minimize the total energy

$$138 \quad V_{\text{tot}}[u(\mathbf{r})] = V_{\text{sr}} + V_{\text{fr}} + V_{\text{ela}} + V_{\text{ext}} \quad (10)$$

139 with respect to  $u(x, y)$ , i.e., the solution  $u_0(\mathbf{r})$  must satisfy

$$140 \quad \left. \frac{\delta V_{\text{tot}}[u(\mathbf{r})]}{\delta u(\mathbf{r})} \right|_{u(\mathbf{r})=u_0(\mathbf{r})} = 0 \quad (11)$$

141 for each  $\mathbf{r}$ . Here,  $\delta$  indicates a functional derivative. In a discrete representation of the problem,  $\mathbf{r}$   
 142 is an index so that the functional derivative in Eq. (11) has to be replaced by a partial derivative.

### 143 **Alternative interaction models**

144 Throughout this paper, different functional forms for the finite-range interactions between surfaces  
 145 are considered in addition to the “default” or “exponential” model introduced in Eq. (5). Functions  
 146 similar to the ones used in this work have already been employed for the simulation of mode I  
 147 fracture or delamination. Depending on the authors, the functions are called the cohesive zone  
 148 model [16], the crack evolution function [17], or the traction-separation relation [18]. However,  
 149 it is not clear how the results obtained for mode I fracture geometries relate to Hertzian contacts.  
 150 This is the main reason why the results obtained within the cohesive zone model cannot be com-  
 151 pared in a straightforward fashion to those of the current study.

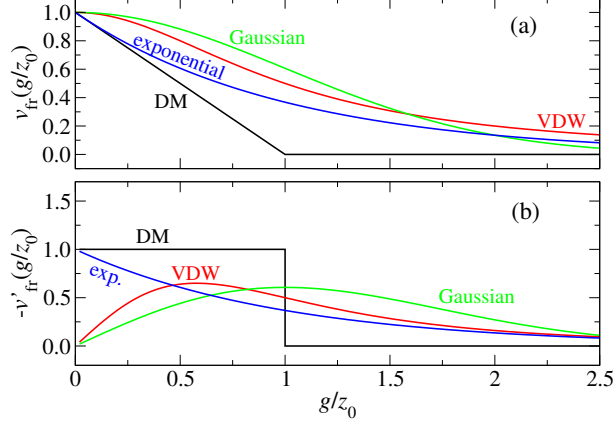
152 The additional models in the current work replace the integrand on the r.h. of Eq. (5) with the fol-  
 153 lowing expressions:

$$154 \quad v_{\text{fr}}\left(\frac{g}{z_0}\right) = -\Delta\gamma \times \begin{cases} \exp(-g^2/2z_0^2) & \text{Gauss model} \\ 1/[1+(g/z_0)^2] & \text{van der Waals model} \\ (1-g/z_0)\Theta(z_0-g) & \text{Maugis-Dugdale model,} \end{cases} \quad (12)$$

155 where  $\Theta(\dots)$  denotes the Heavyside step functions, which is 1 for positive arguments and zero  
 156 otherwise. The interaction potentials and their first derivatives are shown in Fig. 2.

157 All expressions take the same value,  $-\Delta\gamma$ , for the adhesive energy when the two surfaces touch,  
 158 i.e., in each case the work of adhesion is  $\Delta\gamma$ . In this sense, all four models produce the same con-  
 159 tinuum limit. However, in two models, namely the Gauss and the van der Waals (VDW) integrands,  
 160 the derivative  $v_{\text{fr}}'(g/z_0)$  goes to zero when the two surfaces touch, while it remains finite in the ex-  
 161 ponential model and the MD model.

162 As stated before, none of the models are supposed to be highly realistic representations of sur-  
 163 face forces, although each model may have its justification. In particular the exponential model  
 164 follows from the argument that long-range density correlations in fluids are governed by a single  
 165 length [15]. In a high-density fluid, the correlation length becomes complex [15], which then leads  
 166 to layering transitions as discussed recently [19]. The VDW model might approximate the long-  
 167 range van der Waals interactions in a way that  $\Delta\gamma$  reflects the Hamaker constant. Depending on



**Figure 2:** (a) Finite-range surface energies and (b) forces per unit area for the models investigated in this study.

168 the confined system in question, other effective interactions might be possible. However, all mod-  
 169 els represent the feature that surfaces repel upon close approach (i.e., when atoms from opposing  
 170 surfaces bump into each other, which is implemented through the hard-wall repulsion) and that at-  
 171 traction – or additional repulsion – may occur at finite distance. Continuous short-range repulsive  
 172 forces are not used here. Doing so would complicate the definition of contact and thus contact ra-  
 173 dius, which has remained controversial for systems without hard-wall or hard-disk interactions [20-  
 174 23]. Lastly, the equations to be solved would become very stiff and thus the simulation inefficient  
 175 if the hard-wall constraint was replaced by potentials with large curvature.  
 176 In the context of the squeeze-out of fluids, the MD and the exponential model might not be physi-  
 177 cally meaningful for small ratios of  $g/z_0$ : when one flat solid is placed on top of another flat solid  
 178 with an infinitesimally small external load (in the absence of a fluid), the two solids would repel,  
 179 although they “cannot know”, away from a contact line, that a fluid wants to penetrate. Nonethe-  
 180 less, the exponential model has been used in early study of squeeze-out of fluids [12]. Forces be-  
 181 tween two (flat) surfaces in the Gauss and the VDW model are zero either for intimate mechanical  
 182 contact or at infinite separation.

## 183 Dimensional Analysis

184 In this section, I present a simple dimensional analysis of the contact problem. The result of the  
 185 analysis is a meaningful set of units, which, in similar form, has already been established by  
 186 Maugis [9]. However, in the present analysis, units are not motivated from the solutions but rather  
 187 straight from the beginning, i.e., by the expressions defining the model. This is why Maugis’ and  
 188 the present units differ by dimensionless prefactors, which, however, always turn out close to unity.  
 189 In the subsequent derivation, it is not necessary to know the precise functional dependence of the  
 190 finite-range forces.

191 Assume we know the solution  $u_0(\mathbf{r})$  minimizing  $V_{tot}$  for a given set of parameters defining our  
 192 model, i.e.,  $u(\mathbf{r})$  solves the contact problem for a specific set of values for  $E$ ,  $R$ ,  $F_N$ ,  $\Delta\gamma$ , and  $z_0$ . It  
 193 is then possible to “recycle” the shape of the function  $u_0(\mathbf{r})$  to solve a different problem defined by  
 194 different parameters  $E'$ ,  $R'$ ,  $F'_N$ ,  $\Delta\gamma'$ , and  $z'_0$ . Specifically, if each individual summand of  $V'_{tot}[u'(\mathbf{r}')]$

195 is identical to the equivalent term in  $V_{\text{tot}}[u(\mathbf{r})]$  (up to a multiplicative constant, which can be set to  
 196 one), then  $u'_0(\mathbf{r})$  minimizes  $V'_{\text{tot}}[u'(\mathbf{r})]$  given that  $u_0(\mathbf{r})$  minimizes  $V_{\text{tot}}[u(\mathbf{r})]$ .  
 197 The transformation,  $u'_0(\mathbf{r}') \equiv tu(\mathbf{r}') = tu_0(s\mathbf{r})$ , in which in-plane coordinates are scaled as  $\mathbf{r}' \equiv s\mathbf{r}$   
 198 and normal coordinates are scaled as  $z' = tz$  leaves the shape of the solution unchanged. Of course,  
 199  $z(\mathbf{r})$  and thus  $g(\mathbf{r})$  must be transformed the same way as  $u(\mathbf{r})$ . Therefore, the radius of curvature of  
 200 the “new” tip is  $R' = s^2R/t$ .

201 Let us investigate how one has to alter each individual term contributing to  $V'_{\text{tot}}[u']$  so that it  
 202 matches its equivalent in  $V_{\text{tot}}[u]$ . (i) The hard-wall repulsive energy  $V_{\text{fr}}$  is unproblematic. It disap-  
 203 pears for the old and the new solution, because of the limit  $z_r \rightarrow 0$ , i.e.,  $V'_{\text{fr}}[u'] = 0$ . (ii) To recy-  
 204 cle the  $V_{\text{fr}}$  calculation, we need to set  $z'_0 = tz_0$ . Keeping in mind that  $A' = s^2A$ , where  $A = L^2$  is  
 205 the original integration domain, it follows that  $V'[u'] = s^2(\Delta\gamma'/\Delta\gamma)V[u]$ . (iii) For the calculation  
 206 of the elastic energy, it is useful to keep in mind that  $q' = q/s$  and that  $A' = s^2A$ . This means that  
 207  $V'_{\text{ela}}[u'] = st^2(E'/E)V_{\text{ela}}[u]$ . (The integer indices enumerating the wave vectors are identical for the  
 208 original and the new domain.) (iv) Lastly, the load-related energy becomes  $V'_{\text{ext}} = t(F'_N/F_N)V_{\text{ext}}$ . In  
 209 summary, we can recycle our solution with the following substitutions

$$210 \quad E' = E/st^2 \quad (13)$$

$$211 \quad R' = s^2R/t \quad (14)$$

$$212 \quad \Delta\gamma' = \Delta\gamma/s^2 \quad (15)$$

$$213 \quad z'_0 = tz_0 \quad (16)$$

$$214 \quad F'_N = F_N/t. \quad (17)$$

215 Let us first consider the case of no external force,  $F_N = 0$ , so that we investigate the “intrinsic”  
 216 system properties. If we use  $E$  as the unit of pressure, which is done until further notice, all three  
 217 remaining parameters defining the system can be expressed to be of unit length, i.e,  $R$ ,  $z_0$ , and  
 218  $\Delta\gamma/E$ . Whether a potential should be classified as short- or long-ranged can only depend on a non-  
 219 dimensionalized interaction length. This means that  $z_0$  has to be expressed in the two remaining  
 220 units of length ( $R$  and  $\Delta\gamma/E$ ) such that the dimensionless ratio

$$221 \quad \frac{z_0}{R^{1-\alpha}(|\Delta\gamma|/E)^\alpha} \quad (18)$$

222 remains unchanged under a scaling transformation.

223 Let us now chose the radius of curvature as the unit of length, or, alternatively, consider only those  
 224 scaling transformations that leave  $R$  constant. This can be achieved by setting  $t = s^2$ , which maps  
 225 an infinite parabola ( $x \rightarrow z = x^2$ ) onto itself ( $sx \rightarrow s^2z$ ). I note in passing that such a transforma-  
 226 tion might not be meaningful for a scaling analysis of the contact mechanics of randomly rough  
 227 surfaces, which will be presented elsewhere.

228 Inserting the relevant equalities from Eqs. (13)–(17) reveals that choosing  $\alpha = 2/3$  leaves the ex-  
 229 pression in (18) constant. As a consequence, the range of influence of the adhesive term is best  
 230 quantified by the term

$$231 \quad \frac{1}{\mu_T} = \frac{z_0}{R^{1/3}(|\Delta\gamma|/E)^{2/3}}, \quad (19)$$

232 where  $\mu_T$  is known as Tabor coefficient – up to a dimensionless, multiplicative constant. It re-  
 233 mains invariant under all scaling transformation in Eqs. (13)–(17) leaving the radius of curvature  
 234 unchanged.

From Eq. (19) one can see that we need to send  $z_0 \rightarrow w^{2/3}z_0$  in order to keep the Tabor coefficient constant when changing  $\Delta\gamma/E$  at constant  $R$  to  $w\Delta\gamma/E$ . This in turn implies a transformation of  $x \rightarrow w^{1/3}x$  for the in-plane coordinates, because  $R$  is supposed to remain unchanged. It follows that  $a_c(F_N = 0) \rightarrow w^{1/3}a_c(F_N = 0)$  and thus  $a_c \propto (|\Delta\gamma|/E)^{1/3}$ . The unit of  $a_c$  can be fixed by multiplying the r.h.s. of this proportionality with  $R^{2/3}$ . Otherwise the proportionality coefficient can only depend on  $\mu_T$ , and of course, on the sign of  $\Delta\gamma$ . Therefore, we can write

$$\frac{a_c(F_N = 0)}{(R^2|\Delta\gamma|/E)^{1/3}} = a_{c,T}(\mu_T, F_N = 0), \quad (20)$$

such that the r.h.s. of the equation only depends on the Tabor coefficient and the functional form of the surface interaction. Since we have not used the explicit functional form of our default surface interaction (other than that it depends only on a single length scale), the conclusions drawn in this section extends to any choice for  $V_{fr}$  considered in this work.

To include finite loads into the analysis, note that the ratio  $F_N/|\Delta\gamma|R$  does not change under the transformation Eqs. (13)–(17). This allows us to express a properly undimensionalized contact radius as a dimensionless function of a properly dimensionless load

$$\frac{a_c(F_N)}{(R^2|\Delta\gamma|/E)^{1/3}} = a_{c,T}(\mu_T, F_N/|\Delta\gamma|R). \quad (21)$$

From this last equation, it also becomes clear that the pull-off (or the squeeze-out) force is proportional to  $|\Delta\gamma|R$ , i.e., by identifying the value of  $F_N/|\Delta\gamma|R$  at which the function  $a_{c,T}$  takes its minimum value. Therefore, it is most meaningful to normalize the force with  $\Delta\gamma R$ , unless, of course,  $\Delta\gamma = 0$ .

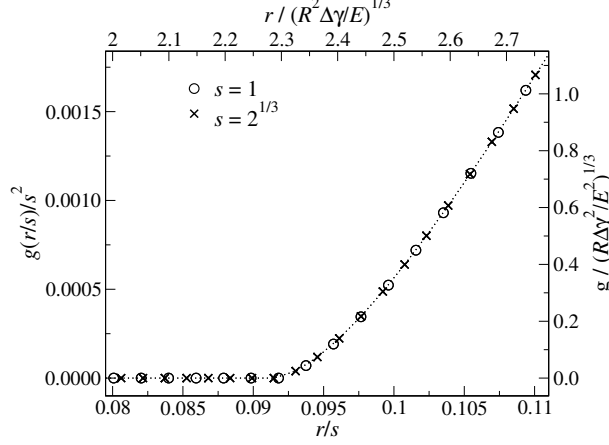
The approach is validated in Fig. 3. It shows the spatial dependence of the gap for two different parameterization. Small deviations, which are not visible to the naked eye, occur. They are due to finite-size and discretization effects. For example, the ratio  $a_c/L$  is not exactly zero and takes different values for different values of  $s$  for a fixed number of points used to represent the elastic surface.

The normal displacement can be nondimensionalized in a similar fashion as the contact radius, except that it needs to be rescaled with the factor  $w^{2/3}$  rather than with  $w^{1/3}$ . This is why it must obey

$$\frac{d(F_N)}{R^{1/3}(|\Delta\gamma|/E)^{2/3}} = d_T(\mu_T, F_N/|\Delta\gamma|R), \quad (22)$$

where all terms on the r.h.s. of the equation are again dimensionless, while those on the l.h.s. are allowed to have units. Thus, displacements and gaps are best represented in units of  $R^{1/3}(|\Delta\gamma|/E)^{2/3}$ , while contact radii are more meaningfully expressed as multiples of  $R^{2/3}(|\Delta\gamma|/E)^{1/3}$ . As a result, numbers turn out of order unity when data is represented in these units, unless  $F_N$  approaches the pull-off threshold or distinctly exceeds  $\Delta\gamma R$ .





**Figure 3:** Scaled gap  $g(r)$  as a function of scaled distance from origin  $r$  for two different parameter realizations related through the scaling transformation Eqs. (13)–(17). Parameters used are  $E = L = \mu_T = 1$ ,  $F_N = 10^{-4}/w$  and  $\Delta\gamma = 0.64 \cdot 10^{-4}/w$ . The surface is discretized into  $512 \times 512$  elements. Circles  $s = 1$  with  $w = 1 \Rightarrow z_0 = 0.0016$ , crosses  $w = 1/2 \Rightarrow z_0 = 2^{2/3} \cdot 0.0016$ . For the second data set, this implies a scaling factor of  $t = 2^{2/3}$  for variables linear in normal coordinates and thus  $s = 2^{1/3}$  for variables proportional to in-plane coordinates. The units on the normal side of the axes are in “absolute” units, i.e.,  $L = 1$ . The units on the opposite axes correspond to those that remain invariant under a scaling transformation. The dotted line is drawn to guide the eye.

268 I conclude this section by summarizing the units used in this work and discuss some of the conse-  
 269 quences arising from it: Specifically, the following units are used for:

270 in-plane coordinates:  $[x] = R^{2/3} (|\Delta\gamma|/E)^{1/3}$  (23)

271 out-of-plane coordinate:  $[z] = R^{1/3} (|\Delta\gamma|/E)^{2/3}$  (24)

272 normal force:  $[F_N] = R|\Delta\gamma|$  (25)

273 normal pressure:  $[p] = (|\Delta\gamma|/R)^{1/3} E^{2/3}$  (26)  
 274

275 This list includes a “new” unit of normal stress or pressure,  $[p]$ , which must be chosen proportional  
 276 to  $[F_N]/[x]^2$  rather than to  $E$  so that the regular definition of pressure applies. As noted above,  $E$   
 277 drops out of the definition of the unit for the normal force, implying that pull-off or squeeze-out  
 278 forces cannot be functions of  $E$ . Instead they must equal  $R|\Delta\gamma|$  times dimensionless expressions  
 279 that can only depend on  $\mu_T$ , and, of course, on the functional form of the interaction potential.

280 In our units, the well-known  $a_c(F_N, E^*, R, \Delta\gamma)$  relations can be simplified to

281  $a_c^3 = \frac{3}{4}(F_N + 2\pi)$  in DMT limit (27)

282  $a_c^3 = \frac{3}{4}\left(F_N + 3\pi + \sqrt{6\pi F_N + 9\pi^2}\right)$  in JKR limit. (28)  
 283

284 Hertzian contact mechanics is obtained in either limit for  $F_N \gg 1$ . Finally, note that Maugis’ choice  
 285 for units slightly differs from ours in that he used  $\pi\Delta\gamma$  rather than  $\Delta\gamma$  in Eqs. (23)–(26) and  $3E/4$   
 286 instead of  $E$ . The conversion between Maugis’ and our system is summarized in Eqs. (43)–(46).

## 287 Numerical Analysis

288 Different methods can be used in the numerical solution of Eq. (11). For the present study, Green's  
 289 function molecular dynamics (GFMD) [24] as described in Ref. [25] was employed. The only  
 290 modification is the implementation of conservative surface forces acting in addition to the  
 291 boundary condition  $g(\mathbf{r}) \geq 0$ . Moreover, the results in this work were produced with a serial code  
 292 with typical run times of a few minutes. I refer to the literature for more details on GFMD [24,25].  
 293 Irrespective of the employed code or method, particular precautions, which are worth discussing,  
 294 need to be taken into account when including adhesion or finite-range repulsion.

295 When simulating Hertzian contact mechanics, one needs to ensure that the discretization of the lat-  
 296 tice  $\Delta a$  satisfies  $\Delta a \ll a_c$ . Of course, methods based on spatially varying grids only need to obey  
 297 that relation near the contact line. In addition, one wants  $a_c$  to be much less than the size of the  
 298 simulation cell, at least in Fourier-based methods, such as GFMD. One then has the sequence of  
 299 inequalities  $\Delta a \ll a_c \ll L$ . In Hertzian contact mechanics, this is easy to achieve: choosing the  
 300 discretization such that  $\Delta a/a_c = 1/32$  and  $a_c/L = 1/8$  already gives accurate results for the con-  
 301 tact area, that is, to within less than 0.1% error if the contact area is determined through a fit of the  
 302 radial pressure profile.

303 When including adhesion, an additional length enters, namely that associated with the adhesive  
 304 zone. The additional adhesive radius or skin  $a_a$  then needs to be taken into consideration. When the  
 305 Tabor coefficient is very small,  $a_a$  becomes large, and one needs  $a_a$  to lie within the simulation cell.  
 306 A new series of inequalities is obtained:  $\Delta a \ll a_c \ll a_a \ll L$ . If the normal stress changes smoothly  
 307 with the gap, i.e., for long-range adhesion, the forces couple predominantly to large wavelength  
 308 modes. This then results in a simple offset force, as is well known from DMT theory. However,  
 309 numerical demands can become significant when  $a_c$  disappears continuously with decreasing load  
 310 as in the DMT scenario. The condition  $a_c \ll a_a$  then becomes difficult to satisfy.

311 In the opposite case of a large Tabor coefficient,  $a_a$  is very small, potentially much smaller than  $a_c$ .  
 312 We still need to resolve the adhesive zone sufficiently well, because the stress has to be smooth on  
 313 the given discretization. One thus obtains the series of inequalities  $a \ll a_a \ll a_c \ll L$ . In either limit  
 314 of large or small Tabor coefficient, another inequality needs to be satisfied in addition to those for  
 315 Hertzian contact mechanics.

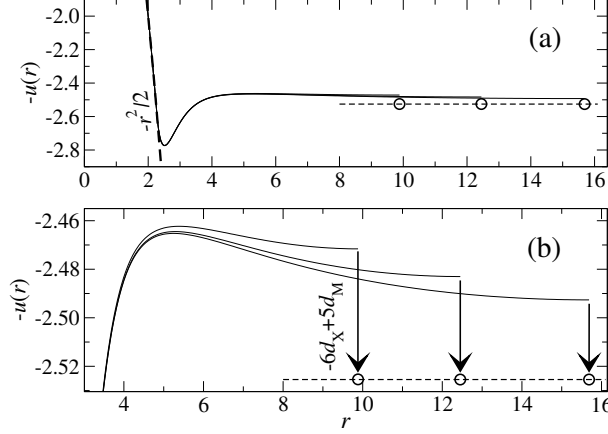
316 While the contact area converges reasonably quickly as the respective inequalities are obeyed, the  
 317 center-of-mass displacement  $d$ , which corresponds to  $\tilde{u}(0)$  or  $u(r \rightarrow \infty)$  only converges slowly. The  
 318 reason is that the displacement field induced at a given point due to an external force only decays  
 319 with the inverse distance from that point, i.e.,

$$320 \quad d \approx u(r) + \frac{c}{r} \text{ for } r \gg a_c + a_a, \quad (29)$$

321 where  $c$  is a load- and system-dependent constant. Outside of the adhesive zone, this relation can  
 322 be used, in principle, to extrapolate from finite  $r$  to infinite  $r$ , i.e., by determining  $c$  and  $d$  from two  
 323 measurements taken sufficiently far outside the adhesive zone. In practice, this turns out problem-  
 324 atic, because the periodic boundary conditions suppress the  $1/r$  corrections near the boundaries.  
 325 For Hertzian contacts, it is still possible to use a slightly modified (empirical) correction

$$326 \quad d \approx 6u_X - 5u_M, \quad (30)$$

327 where X and M denote the (symmetry) points  $(L/2, L/2)$  and  $(L/2, 0)$  relative to the position of the  
 328 center of the tip. The same extrapolation scheme also appears to give quickly converging estimates  
 329 for the normal displacement for adhesive contact, which is demonstrated in Fig. 4.



**Figure 4:** Negative elastic displacement  $-u(r)$  (as defined in Fig. 1) of a tip with  $R = E = 1$  pressed against a rigid substrate at a positive load of  $F_N = 1.5625$  for a work of adhesion  $\Delta\gamma = 1$  and a Tabor coefficient  $\mu_T = 1$  resulting in an exponential decay length of  $z_0 = 1$ . In each case, the system is discretized into  $512 \times 512$  grid points, but different sizes are used, i.e.,  $L = L_0$ ,  $L = 2^{1/3}L_0$ ,  $L = 2^{2/3}L_0$  with  $L_0 = 9.8825$ . Part (a) shows a larger domain including the shape of the tip in form of a dashed line. Part (b) shows a smaller domain and includes a higher-resolution estimate of the displacement at infinite radius  $R$  through the extrapolation  $6u_X - 5u_M$ .

330 It is worth discussing Fig. 4. At the given load, the contact radius is  $a_c \approx 2.30$ , while it would have  
 331 been  $a_c \approx 1.05$  without adhesion. The displacement curve has a peak at  $r = 2.52$  and adhesive  
 332 effects remain non-negligible all the way up to  $r \approx 4$ . At that distance the gap starts to be greater  
 333 than  $5z_0$ , which means that the adhesive attraction is  $\leq \exp(-5)$  times the value in the contact  
 334 and its immediate periphery. For distances exceeding  $r = 4$ , an infinitely large system would then  
 335 show the displacement given in Eq. (29). The periodic boundary conditions suppress this scaling  
 336 rather strongly, yet, for radii as small as  $r = 10$ , accurate estimates for  $d_\infty$  can be achieved through  
 337 Eq. (30).

338 Simulations could be made more efficiently by exploiting the radial symmetry of the system. This  
 339 would allow one to reduce sums over two indices (e.g.,  $q_1$  and  $q_2$ ) to that over one index. However,  
 340 less is gained than it first might seem. To get a good resolution of contact area, one-dimensional  
 341 (1D) calculation require greater ratios for  $a_c/a$  than two-dimensional setups. The reason is that  
 342 the resolution of the contact area in 1D and in 2D both scale with  $1/N$ , where  $N$  is the number of  
 343 grid points in the contact. For example, when representing a contact in which for the given dis-  
 344 cretization  $5a < a_c < 6a$  in a 2D system, then  $a_c$  is allowed to take the values  $\sqrt{5^2 + 1^2}$ ,  $\sqrt{5^2 + 2^2}$ ,  
 345  $\sqrt{5^2 + 3^2}$ , and  $\sqrt{4^2 + 4^2}$ . The maximum distance between two radii thus is  $\Delta a_{\max} = 0.28$  so that the  
 346 resolution is  $\Delta a_c/a \approx 5/0.28$ . To match this in a 1D model, one would need 18 grid points rather  
 347 than five. Since we need to cover a (square) area of  $(2a_c)^2$  in 2D, we thus have a computational  
 348 overhead of a little more than a factor of 4 compared to 1D. However, the disadvantage of large 1D  
 349 systems is that the number of iterations to find solutions can be much larger than in 2D. Depend-  
 350 ing on the nature of the solver, the number of iterations scales as a power law with *linear* system  
 351 size. In the given case, where the effective stiffness scales proportional to wave vector  $q$ , one would  
 352 expect a slowing down with  $\sqrt{q}$ , at least in simple gradient-based minimization such as steepest de-

353 scent or molecular-dynamics. For this reason, no efforts were made to reduce the dimensionality,  
 354 although this would have been legitimate for the given problem.

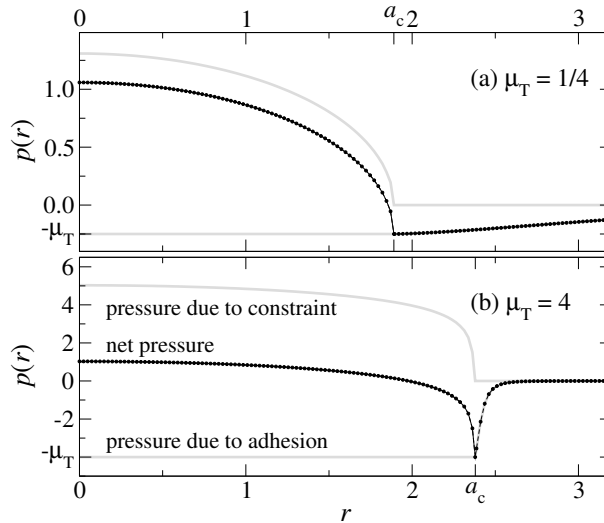
## 355 Positive work of adhesion

356 This section analyzes how the employed models reproduce established results for adhesive single-  
 357 asperity contacts in the limits of large and small Tabor coefficient. This includes an asymptotic  
 358 analysis of Maugis' solution of the Dugdale model, which in turn leads to modifications of the  
 359 equations proposed by Carpick, Ogletree, and Salmeron [1]. The cross-over from JKR to DMT is  
 360 investigated as well, in particular at zero load and near pull-off, allowing one to identify the model  
 361 for the surface interaction that is most appropriate for the simulation of (adhesive) multi-asperity  
 362 contacts.

## 363 Zero external load

364 An external load of  $F_N = 0$  is addressed first. The motivation for studying this special case is that  
 365 one can analyze relatively easily at what Tabor coefficients the DMT and JKR limits start to predict  
 366 reasonably accurate values for the contact radii and displacements in our various models.

367 We start our analysis with the pressure distribution of the exponential model, which is depicted  
 368 in Fig. 5 for  $\mu_T = 1/4$  and  $\mu_T = 4$ . It behaves very similar to the MD model, which shall not be  
 369 shown explicitly. As to be expected, the adhesive load is spread out for  $\mu_T = 1/4$  to radii clearly  
 370 exceeding  $a_c$  (all the more as each radius  $r$  contributes with a weight proportional to  $r$ ), while it  
 371 is rather localized near  $r = a_c$  for  $\mu_T = 4$ . It therefore seems legitimate to call the (net) pressure  
 372 profile for  $\mu_T = 1/4$  DMT-like and JKR-like for  $\mu_T = 4$ .



**Figure 5:** Interfacial pressure on a free, linearly-elastic half space resting at zero external load on a rigid, adhering, parabolic substrate for (a)  $\mu_T = 1/4$  and (b)  $\mu_T = 4$  in case of the exponential model. In each case, the upper and lower grey lines indicate, respectively, the pressure due to the constraint and that due to adhesion. Contact radii are indicated by  $a_c$ . The net pressure is shown by a black line as well as by small circles representing the actual grid points. Units are chosen according to Eqs. (23)–(26).

373 The adhesive pressure is calculated from the functional derivative  $p_{\text{adh}}(x, y) = -\delta V_{\text{fr}}/\delta g(x, y)$ ,  
 374 where  $V_{\text{fr}}$  is defined in Eq. (5). This can be evaluated to yield

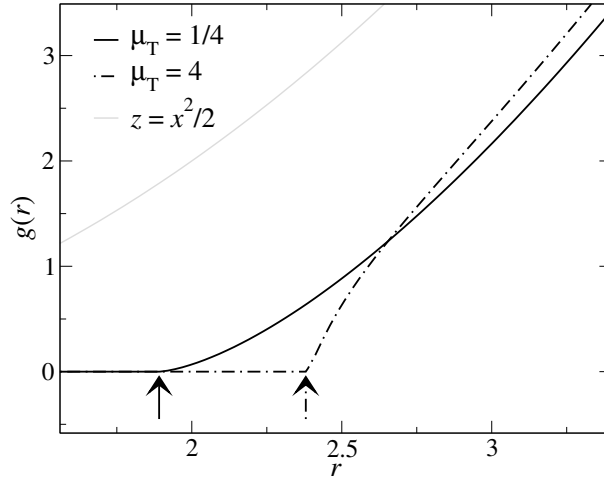
$$375 \quad p_{\text{adh}}(r) = \frac{-\Delta\gamma}{z_0} \exp\{-g(r)/z_0\}, \quad (31)$$

376 which becomes  $p_{\text{adh}}(r < a_c) = -\Delta\gamma/z_0$  within the true contact area. Using Eq. (19), one obtains

$$377 \quad p_{\text{adh}}(r < a_c) = -\left(\frac{\mu_T^3 \Delta\gamma E^2}{R}\right)^{1/3} \text{ in physical units} \\
 378 \quad = -\mu_T \text{ in our reduced units.} \quad (32)$$

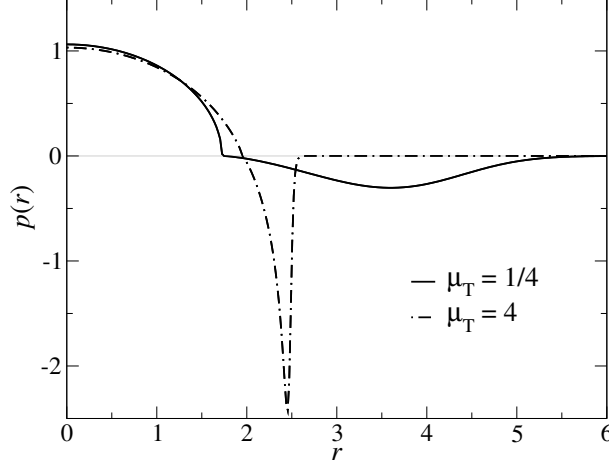
379 Stress or pressure originating from the constraint  $g(x, y) \geq 0$  is computed from the elastic Green's  
 380 functions.

381 The well-known qualitative difference for the contact geometry of systems with large ( $\mu_T = 4$ ) and  
 382 small ( $\mu_T = 1/4$ ) Tabor coefficient is borne out in the radial dependence of the gap  $g(r)$ . Specifi-  
 383 cally, Fig. 6 reveals that a small Tabor coefficient makes  $g(r)$  have a positive curvature at  $r \gtrsim a_c$ , as  
 384 in the DMT solution, while it has a negative curvature at  $r \gtrsim a_c$ , indicative of an adhesive neck, for  
 385 large  $\mu_T$ . Fig. 6 also shows that the displacement (defined by the difference between the actual gap  
 386 and the gap in an undeformed contact at  $r \gg 1$ ) is smaller for  $\mu_T = 4$  than for  $\mu_T = 1/4$ , although  
 387 the contact radius is larger for  $\mu_T = 4$  than for  $\mu_T = 1/4$ .



**Figure 6:** Gap between a rigid, adhesive, parabolic tip and a linearly-elastic half space for two different Tabor coefficients  $\mu_T = 1/4$  (solid black line) and  $\mu_T = 4$  (broken black line). The gap  $z = x^2/2$  of an undeformed half-space is shown in grey for comparison. Arrows indicate contact radii. Units are chosen according to Eqs. (23)–(26).

388 The Gauss model behaves qualitatively different from the exponential model. First, there are no  
 389 adhesive forces within the contact, but only outside of it, as shown in Fig. 7. Second, at  $r = a_c$ ,  
 390 the total pressure disappears in the Gauss model, while it remains finite in the exponential model.  
 391 Third, the pressure due to the constraint has finite slope at  $r = a_c^-$  in the Gauss model, while the  
 392 slope diverges in the exponential model (not shown explicitly). All these differences arise because



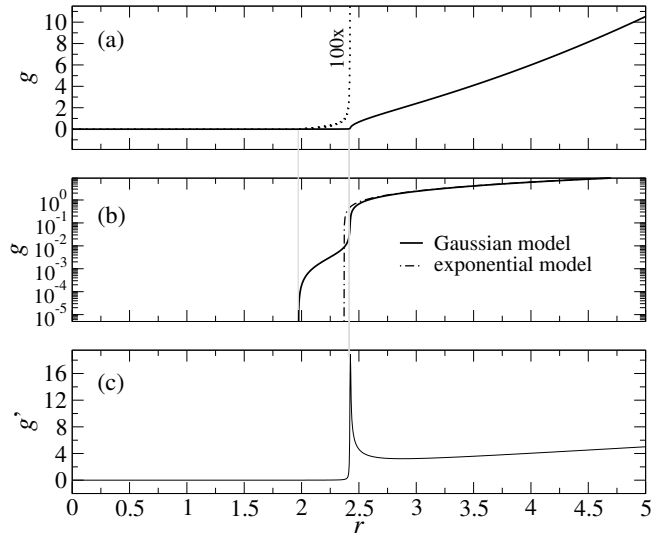
**Figure 7:** Pressure  $p(r)$  in the Gauss model at zero load for two different Tabor coefficients as a function of the in-plane distance  $r$  from the center of the contact. The contributions due to the constraint are positive, i.e., above the grey line, while the adhesive forces are negative.

393 the derivative of  $v_{\text{fr}}(g/z_0)$  remains finite (i.e. with positive sign) in the exponential model when the  
394 gap closes, while  $v'_{\text{fr}}(g/z_0)$  is zero in the Gauss model.

395 Another consequence of  $p_{\text{adh}}(g/z_0)$  having zero slope in the limit of  $g \rightarrow 0^+$  is that the gap in  
396 the Gauss model closes continuously rather than with a discontinuity in its first derivative. This  
397 is shown in Fig. 8, from where it becomes clear that it is difficult to define good measures for the  
398 contact radius. In a linear representation (at low resolution), it seems as if the contact closes with  
399 the typical adhesive neck, i.e., in part (a) of Fig. 8 the gap appears to close at  $r \approx 2.415$ . There, the  
400 slope of  $g(r)$  takes its maximum value, in a very similar fashion as in the JKR limit, or for the ex-  
401ponential model for the same value of  $\mu_T = 16$ . However, when increasing the magnification, one  
402 can see that the contact closes only at  $r \approx 1.97$ . Unfortunately, the radii where the gap closes to  
403 zero, and where  $g(r)$  has its maximum do not approach each other quickly when  $\mu_T$  is increased.  
404 Instead, the value of  $g$  in the cross-over region in Fig. 8(b) moves to smaller values as  $\mu_T$  increases.  
405 Similar behavior is seen in the VDW model, which is not shown here explicitly.

406 Zero-load contact radii for different potentials are depicted in Fig. 9 as function of the Tabor coeffi-  
407 cient. In the exponential model, the contact radius approaches DMT and JKR limits in a very simi-  
408 lar fashion as in the MD model. In a later section on the asymptotic analysis, I find that the MD  
409 corrections to the JKR limit are of order  $1/\mu_T^2$  for large Tabor coefficients while those to the DMT  
410 limit are of order  $\mu_T$  for small Tabor coefficients. The same scaling of the leading-order corrections  
411 is apparently borne out in the exponential model.

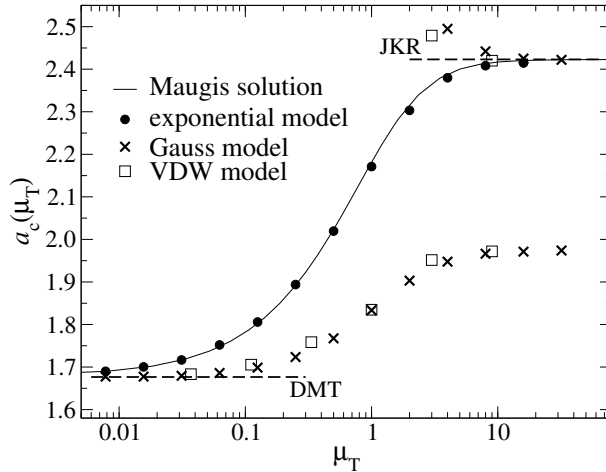
412 Models in which  $v_{\text{fr}}(g/z_0)$  has zero slope in the origin behave qualitatively different from the MD  
413 or the exponential model. They approach the DMT limit for the contact radius fairly quickly, i.e.,  
414 roughly with  $\mu_T^{3/2}$ . However, convergence to the JKR limit is poor. The latter can be improved by  
415 defining the contact line to be located where  $g'(r)$  takes its maximum value. Unfortunately, this  
416 definition cannot be universally applied, i.e., only when  $\mu_T$  is sufficiently large to allow for an  
417 adhesive neck to be formed, see also Fig. 8. Moreover, in the context of randomly rough surfaces  
418 with complicated contact geometries, this last definition of contact would not be practicable.  
419 Unlike the contact radius, the normal displacement  $d$  does not suffer from any difficulties to be



**Figure 8:** Gap  $g(r)$  in linear (a) and logarithmic (b) representation as well as (c) first derivative  $g'(r)$  for  $\mu_T = 16$  in the Gauss model (straight lines). A higher resolution representation of the gap is given in (a) with a dotted line. The exponential model is shown for comparison in (b). The two thin grey lines are drawn where the gap becomes zero (left line) and where the slope of  $g(r)$  takes its maximum value.

420 properly defined. In principle, this could enable one to ascertain  $v_{fr}(g)$  from displacement mea-  
 421 surements without much ambiguity. However, Fig. 10 reveals that the functional form of  $d(\mu_T)$  is  
 422 relatively insensitive to the details of the finite-range interaction, at least, as long as we allow for  
 423 a redefinition of the Tabor coefficient, such that all curves superimpose at the distance half way  
 424 between the JKR and the DMT limit. This is in agreement with a work by Tvergaard and Hutchin-  
 425 son [18] who found that  $\Delta\gamma$  and the peak stress (which one may loosely associate with  $\Delta\gamma/z_0$ ) are  
 426 the basic parameters for mode I fracture.

427 Before proceeding to the case of finite load, I wish to comment on the relatively large numerical  
 428 (GFMD) uncertainties for the displacement at large Tabor coefficients. They stem predominantly  
 429 from the difficulty to apply the finite-size extrapolation formula, Eq. (30), to gaps having adhe-  
 430 sive necks. This problem would not be present in large-scale simulations of multi-asperity inter-  
 431 faces, because system sizes would automatically be much larger than local contact radii. One may  
 432 conclude that the use of the exponential model for the study of adhesive multi-asperity contacts  
 433 appears to be appropriate. The MD model could be used as well, in principle, however, it might  
 434 induce undesired numerical instabilities due to the discontinuity of  $v'(g/z_0)$  at  $g = z_0$ . The Gauss  
 435 model can only be taken when the property of interest is related to the gap but not for the calcula-  
 436 tion of contact area. If one wanted to simulate van der Waals attraction at large  $\mu_T$ , one might want  
 437 to replace the VDW model in Eq. (12) with  $1/(1 + g/z_0)^2$ . This dependence makes it possible to  
 438 determine the contact area meaningfully in the realm of continuum mechanics while using reason-  
 439 able approximations for van der Waals interactions at large distance.



**Figure 9:** Contact radius  $a_c$  at zero external load as a function of the Tabor coefficient  $\mu_T$  for different model interactions: exponential (full circles), Maugis Dugdale (straight lines), Gauss (crosses), and VDW (open squares). The upper and lower broken line denote the DMT and the JKR limits, respectively. In the Gauss and VDW models, two different definitions are used for  $a_c$ : The upper symbols refer to the position where  $g'(r)$  reaches a local maximum, while the lower symbols indicate the points of first gap closure ( $g = 0$ ).

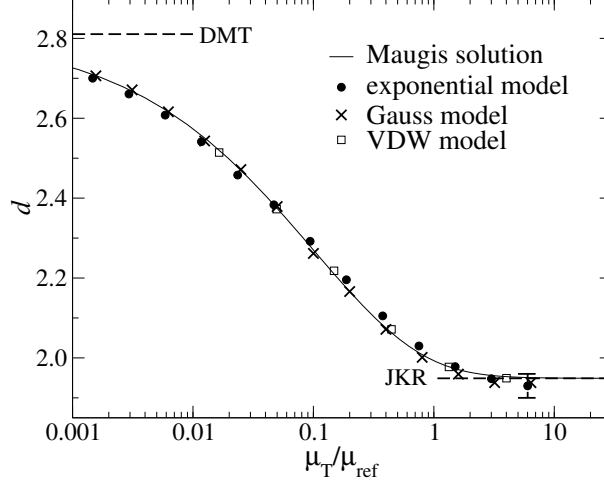
#### 440 **Finite external load**

441 In most experiments, the Tabor coefficient is kept constant and the normal load is changed. As a  
 442 result, one obtains the normal displacement  $d(F_N)$  as a function of the normal load  $F_N$ . In some  
 443 cases, i.e., for sufficiently large contact radii, an estimate of the contact radius,  $a_c(F_N)$ , can be ob-  
 444 tained as well. One might be tempted to believe that knowing such curves allows one to deduce the  
 445 surface forces. Here, I want to investigate to what degree such an inversion is possible by studying  
 446 the sensitivity of the functions  $d(F_N)$  and  $a_c(F_N)$  to the functional form of the surface interactions.  
 447 Fig. 11 shows the contact radius as a function of the normal load. One can see that the exponen-  
 448 tial model and the MD model agree very closely, that is, curves almost superimpose for a given  
 449 Tabor coefficient. This makes it essentially impossible to discriminate between these two forms of  
 450 interaction experimentally. Likewise, the Gauss and VDW models also coincide for the same Ta-  
 451 bor coefficient despite their significant differences at large gaps. Interestingly, the  $\mu_T = 1$  curve for  
 452 VDW and Gauss (both having finite slope potentials at  $g = 0$ ) is akin of the  $\mu_T = 1/4$  curves for  
 453 the MD and the exponential model (both having zero-slope potentials at  $g = 0$ ). This confirms the  
 454 trend reflected in Fig. 9: Surface potentials with zero slope at  $g = 0$  make the results move toward  
 455 the DMT limit.

456 In contrast to the  $a_c(F_N)$  dependence, the normal displacement curve  $d(F_N)$  predominantly depends  
 457 on the Tabor coefficient. Now, all  $\mu_T = 1$  curves resemble each other closely, independent of the  
 458 slope of the surface potential at zero gap. As for the normal displacement, all curves are reasonably  
 459 close to the JKR limit. Even the  $\mu_T = 1/4$  curve lies closer to the JKR than to the DMT line. This  
 460 is consistent with the results shown in Fig. 10, which show that the DMT limit for  $d(F_N)$  is only  
 461 reached at extremely small Tabor coefficients.

462 Fig. 12 reveals that it is possible to adjust the free parameters of the MD model to fit  $d(F_N)$  curves  
 463 for a broad variety of surface interactions. However, one should abstain from deducing contact ar-





**Figure 10:** Normal displacement  $d$  at zero external load as a function of the Tabor coefficient  $\mu_T$  for different model interactions: exponential (full circles), Maugis Dugdale (straight lines), Gauss (crosses), and VDW (open squares). The Tabor coefficient was normalized so that all curves superimpose at the “half-way” distance  $d = (d_{JKR} + d_{DMT})/2$ . The upper and lower broken line denote the DMT and the JKR limits, respectively. Numerical uncertainties arise in the limit of large Tabor coefficients, as indicated by the error bar.

464 eas based on such fits, as this can result in non-negligible errors. For example, if we only knew the  
 465 contact area from Maugis’ solution, we would be ill-advised to conclude from Fig. 12 that the con-  
 466 tact area for the  $\mu_T = 1$  Gauss model should lie roughly half way between those of the  $\mu_T = 1$  and  
 467  $\mu_T = 4$ .

### 468 Comparison to other models and asymptotic analysis

469 Maugis proposed an analytical solution for the relation between contact radius  $a_c$  and normal load  
 470  $F_N$  in the Dugdale model. It requires the elimination of an auxiliary variable,  $m$ , through the self-  
 471 consistent solution of two coupled non-linear equations. Once  $a_c$  and  $m$  are found, the displace-  
 472 ment  $d$  can be readily calculated as well. Using tildes to indicate variables in Maugis’ unit system,  
 473 the relevant equations read:

$$474 \quad 1 = \frac{\tilde{\mu}_T \tilde{a}^2}{2} f(m) + \frac{4\tilde{\mu}_T^2 \tilde{a}}{3} g(m) \quad (33)$$

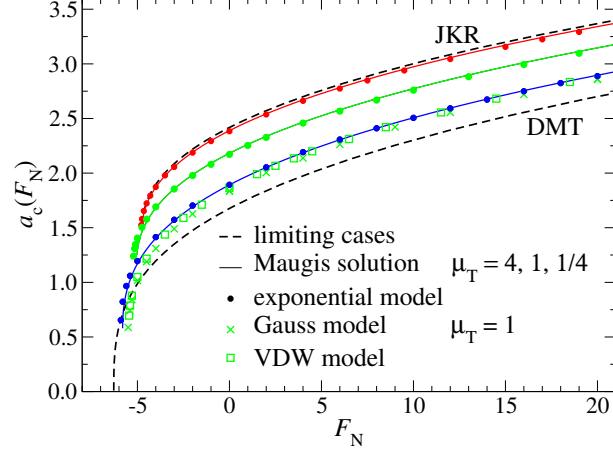
$$475 \quad \tilde{F}_N = \tilde{a}^3 - \tilde{\mu}_T \tilde{a}^2 h(m) \quad (34)$$

$$476 \quad \tilde{d} = \tilde{a}^2 - \tilde{a} \tilde{\mu}_T j(m), \quad (35)$$

477 where the functions  $f(m)$ ,  $g(m)$ ,  $h(m)$ , and  $j(m)$  are defined as

$$478 \quad f(m) = \sqrt{m^2 - 1} + (m^2 - 2) \operatorname{acos}(1/m) \quad (36)$$

$$479 \quad \approx \begin{cases} \frac{4}{3} \{2(m-1)\}^{3/2} & \text{for } m \rightarrow 1 \\ \frac{\pi}{2} (m^2 - 1) & \text{for } m \rightarrow \infty \end{cases}, \quad (37)$$



**Figure 11:** Contact radius  $a_c$  as a function of load  $F_N$  for the exponential and the MD model using different Tabor coefficients, ranging from  $\mu_T \rightarrow \infty$  (JKR, top) to  $\mu_T = 0$  (DMT, bottom). For the Gauss and VDW models, only  $\mu_T = 1$  is shown. Their  $a_c(F_N)$  curve is similar to that of the Maugis and the exponential model for  $\mu_T = 1/4$ . Color coding:  $\mu_T = 4$  (red),  $\mu_T = 1$  (green), and  $\mu_T = 1/4$  (blue).

480

$$g(m) = \sqrt{m^2 - 1} \operatorname{acos}(1/m) - m + 1 \quad (38)$$

481

$$\approx \begin{cases} m - 1 & \text{for } m \rightarrow 1 \\ (\frac{\pi}{2} - 1)m & \text{for } m \rightarrow \infty \end{cases}, \quad (39)$$

482

483

$$h(m) = \sqrt{m^2 - 1} + m^2 \operatorname{acos}(1/m) \quad (40)$$

484

$$\approx \begin{cases} \{8(m-1)\}^{1/2} & \text{for } m \rightarrow 1 \\ \frac{\pi}{2} m^2 & \text{for } m \rightarrow \infty \end{cases}, \quad (41)$$

485

486 and

$$j(m) = \sqrt{m^2 - 1}. \quad (42)$$

487

488 In each but one (straightforward) case, behavior of the functions for  $m$  approaching unity or infinity  
 489 has been included. They become useful in the limit of large and small Tabor coefficients, respec-  
 490 tively.

491 Conversion back to our unit system can be done using:

$$\mu_T = \{2\pi/9\}^{1/3} \tilde{\mu}_T \quad (43)$$

492

$$a = (3\pi/4)^{1/3} \tilde{a} \quad (44)$$

493

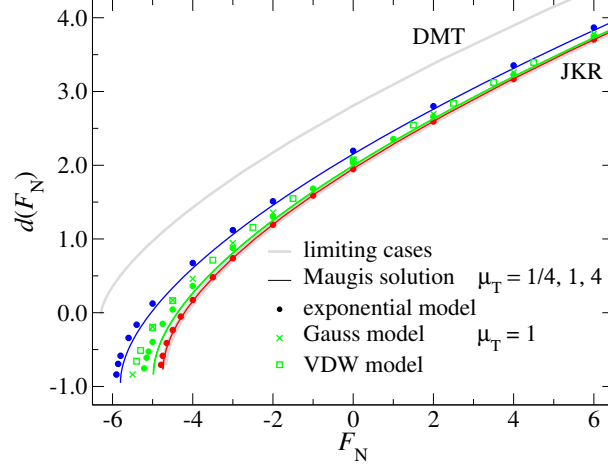
$$d = (3\pi/4)^{2/3} \tilde{d} \quad (45)$$

494

$$F_N = \pi \tilde{F}_N. \quad (46)$$

495

496 To overcome the need of having to find the self-consistent solution to Maugis' equations, Carpick,  
 497 Ogletree, and Salmeron (COS) [1] proposed a simple and thus elegant analytical formula for the



**Figure 12:** Normal displacement  $d$  as a function of load  $F_L$  for the exponential and the MD model using different Tabor coefficients, ranging from  $\mu_T = 0$  (DMT, top) to  $\mu_T \rightarrow \infty$  (JKR, bottom). For the Gauss and the exponential model, data is only shown for  $\mu_T = 1$ . Color coding:  $\mu_T = 4$  (red),  $\mu_T = 1$  (green), and  $\mu_T = 1/4$  (blue).

498  $a_c(F_N)$  dependence

$$499 \quad \frac{a_c(F_N, \mu_T)}{a_c(0, \mu_T)} = \left\{ \frac{\alpha(\mu_T) + \sqrt{1 + F_N/F_p(\mu_T)}}{1 + \alpha(\mu_T)} \right\}^{2/3}. \quad (47)$$

500 Schwarz [2] later recognized that the COS description is exact – given proper parameteriza-  
 501 tion – if the interaction between the surfaces results from the superposition of an infinitesimally  
 502 short-ranged and an infinitely long-ranged contribution. However, in the given context of one  
 503 intermediate-range potential, I will treat the COS equation as a guessed approximation containing  
 504 the correct functional form in the limits of large and small  $\mu_T$ .

505 The primary COS equation, (47), is designed such that the contact radius at zero load  $a_c(0, \mu_T)$  as  
 506 well as the pull-off force  $F_p(\mu_T)$  can be reproduced exactly. However, approximations to their de-  
 507 pendence on  $\mu_T$  had been provided as well, because no closed-form expression are available. A  
 508 free parameter remains,  $\alpha(\mu_T)$ , which can be used to minimize deviations from the exact solution.

509 At large loads, one recovers the well-known  $a_c \propto F_N^{1/3}$  scaling, however, not necessarily with the  
 510 correct prefactor. Another property of the COS approximation is that it does not necessarily con-  
 511 tain the correct value of the contact radius at pull-off. Thus, despite predicting the contact radius  
 512 fairly well, the COS contact radius is not exact in the limit of very large and very small (i.e., pull-  
 513 off) normal loads. These deficiencies can be improved when parameterizing the COS equation in a  
 514 slightly different fashion, e.g.,

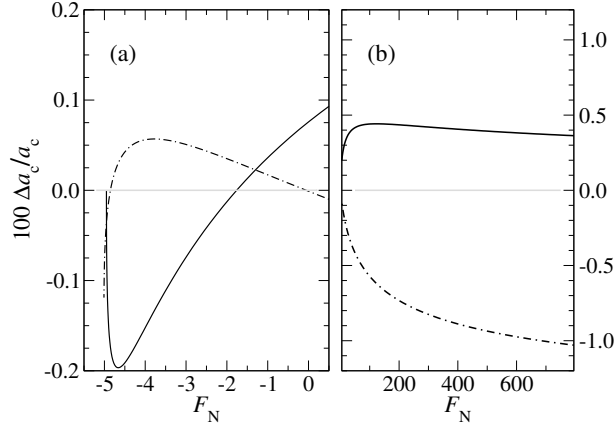
$$515 \quad a_c^3(F_N, \mu_T) = \frac{3}{4} \left( F_N + F_0(\mu_T) + \alpha(\mu_T) \sqrt{F_N + F_p(\mu_T)} \right) \quad (48)$$

516 with

$$517 \quad F_0(\mu_T) = F_p(\mu_T) + \frac{4}{3} a_c^3(0, \mu_T). \quad (49)$$

518 This set of equation ensures that  $a_c$  converges to the exact value when  $F_N \rightarrow \infty$  and  $F_N \rightarrow -F_p$ .  
 519 The parameter  $\alpha(\mu_T)$  can then be adjusted to either yield the correct zero-load contact radius, or to  
 520 minimize the deviation between approximation and the exact Maugis solution by some other mean.  
 521 Note that the factors  $3/4$  in Eq. (48) and  $4/3$  in (49) have to be replaced by unity when working  
 522 with Maugis' unit system.

523 I wish to note that including the correct asymptotics in the  $a_c(F_N)$  expression does not necessarily  
 524 improve the fits in the range from slightly above the pull-off force at negative loads to several times  
 525 the absolute pull-off force. This is demonstrated in Fig. 13. Moreover, convergence to the correct  
 526  $a_c(F_N)$  dependence at large loads is rather slow even when using Eq. (49).



**Figure 13:** Relative errors in per cent for the contact radius  $a_c$  for  $\mu_T = 1$  at (a) negative and (b) positive load. The full line indicates the error when using Eq. (48), while the dot-dashed line is based on Eq. (47). In both cases, the parameter  $\alpha(\mu_T)$  was adjusted to minimize the deviation from Maugis solution in the domain  $-F_p < F_N < 2F_p$ .

527 It is also possible to constrain the COS relation for the contact radius such that it contains the cor-  
 528 rect pull-off force and contact radius as well the correct zero-load radius. In either case, relative  
 529 errors are small, i.e.,  $\lesssim 1\%$  even for  $\mu_T \approx 1$ , where one is relatively far away from both the DMT  
 530 and the JKR limit.

### 531 Zero load

532 The asymptotic analysis is readily done for zero loads, because the variable  $m$  can be directly elim-  
 533 inated in that case. As a result, one obtains

$$534 \quad \tilde{a}_c(F_N = 0) = \begin{cases} 6^{1/3} + O(\tilde{\mu}_T^{-2}) & \text{for } \tilde{\mu}_T \rightarrow \infty \\ 2^{1/3} + O(\tilde{\mu}_T) & \text{for } \tilde{\mu}_T \rightarrow 0 \end{cases} \quad (50)$$

535 and

$$536 \quad \tilde{d}(F_N = 0) = \begin{cases} (4/3)^{1/3} + O(\tilde{\mu}_T^{-2}) & \text{for } \tilde{\mu}_T \rightarrow \infty \\ 2^{2/3} + O(\tilde{\mu}_T^{1/2}) & \text{for } \tilde{\mu}_T \rightarrow 0 \end{cases} \quad (51)$$

537 From the last two equations, one can see — as in Figs. 9 and 10 — that the JKR limit is quickly  
 538 reached as the Tabor coefficient increases. However, convergence to the DMT limit with decreas-  
 539 ing  $\tilde{\mu}_T$  is rather slow. It is particularly slow for the normal displacement. E.g., to have a maximum

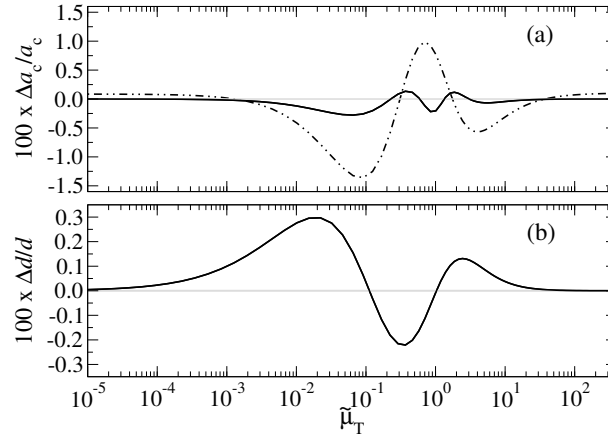
540 error in  $a_c(F_N = 0)$  and  $d(F_N = 0)$  that is of order 1% with respect to a desired limit, it is sufficient  
 541 to work with  $\tilde{\mu}_T \approx 10$  for JKR, but one needs  $\tilde{\mu}_T \approx 10^{-4}$  to approach the DMT limit with similar  
 542 accuracy. The latter is not problematic for the simulation of multi-asperity contacts, as the system  
 543 is large by default. However, for single-asperity contacts, large deviations from  $\mu_T = 1$  (on a loga-  
 544 rithmic scale) are difficult to handle in single-asperity contact simulations for reasons discussed in  
 545 the numerical-analysis section.

546 Knowing the asymptotic behavior of  $\tilde{a}_0(\tilde{\mu}_T) = \tilde{a}(\tilde{F}_N = 0, \tilde{\mu}_T)$  and  $\tilde{d}_0(\tilde{\mu}_T) = \tilde{d}(\tilde{F}_N = 0, \tilde{\mu}_T)$  with  
 547 respect to  $\tilde{\mu}_T$  allows one to incorporate it in empirical equations for these two quantities. The fol-  
 548 lowing equations are found to achieve this and to provide excellent approximations to Maugis' so-  
 549 lutions:

$$550 \quad \tilde{a}_0(\tilde{\mu}_T) \approx \frac{a_{\text{DMT}}/\tilde{\mu}_T^2 + c_1/\tilde{\mu}_T + c_2 + a_{\text{JKR}}c_3\tilde{\mu}_T^2}{1/\tilde{\mu}_T^2 + c_4 + c_3\tilde{\mu}_T^2} \quad (52)$$

$$551 \quad \tilde{d}_0(\tilde{\mu}_T) \approx \frac{(d_{\text{DMT}}/\sqrt{\tilde{\mu}_T} + c_5)/\tilde{\mu}_T^{1/3} + c_7(c_6 + d_{\text{JKR}}\tilde{\mu}_T^2)}{\tilde{\mu}_T^{-5/6} + c_7\tilde{\mu}_T^2} \quad (53)$$

552 Two coefficients in each of the last two equations ( $c_1, c_2$  and  $c_5, c_6$ ) can be constrained to repro-  
 553 duce the correct asymptotics (and thus be obtained analytically). Two fit parameters remain for  
 554 contact radius and one for the displacement. The relative errors from the pertinent fits are shown  
 555 in Fig. 14. Compared to an already quite accurate empirical relation proposed by Carpick et al. for  
 556  $\tilde{a}_0(\tilde{\mu}_T)$ , see Eq. (12b) in Ref. [1], the new Eqs. (52)–(53) contain the correct asymptotics and re-  
 557 duces the maximum relative error from 1.5% to 0.3%. The data shown in Fig. 14 were obtained  
 558 with the following numerical values:  $c_1 = 4/5$ ,  $c_2 = -1.285$ ,  $c_3 = 4/5$ ,  $c_4 = -0.435$ ,  $c_5 = -3/2$ ,  
 559  $c_6 = 0.1845$ , and  $c_7 = 6.71$ .

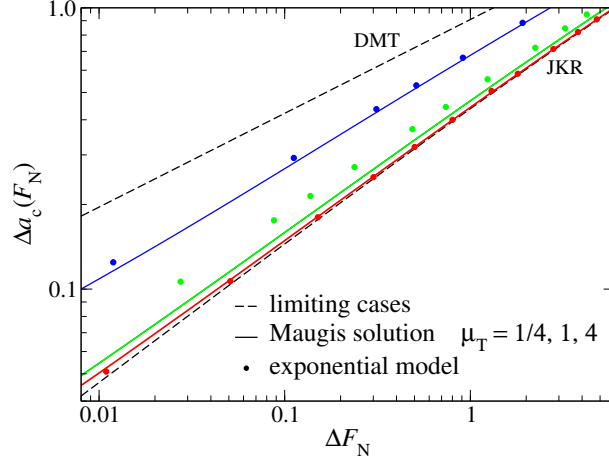


**Figure 14:** Relative errors in per cent for (a) contact radius and (b) normal displacement at zero normal load. Full lines refer to a fit based on Eqs. (52)–(53) containing the correct asymptotic limits. The dotted line reflects an empirical fit based on the COS equations.

### 560 Asymptotic behavior near pull off

561 The structure of the COS approximation, Eq. (47), and its modified form, Eq. (48), indicates that  
 562 the critical behavior near pull off satisfies  $\tilde{a}_c - \tilde{a}_p \propto (\tilde{F}_N + \tilde{F}_p)^\kappa$ , where  $\kappa$  must be either  $\kappa = 1/3$

563 as in the DMT limit, or  $\kappa = 1/2$  as in the JKR limit. However, nothing in the self-consistent solu-  
 564 tion of Maugis indicates that the exponent  $\kappa$  changes discontinuously from one value to the next as  
 565 the Tabor coefficient reaches or passes through a critical value. In fact, representing the data from  
 566 Fig. 11 in terms of  $\Delta a_c(\Delta F_N)$ , as done in Fig. 15, shows that  $\kappa$  changes continuously from  $1/3$  to  
 567  $1/2$  as  $\mu_T$  increases from 0 to infinity.



**Figure 15:** Excess contact radius  $\Delta a_c = a_c - a_p$  as a function of the excess load  $\Delta F_N = F_N + F_p$  for different values of the Tabor coefficient ranging from  $\mu_T = 0$  (DMT, top) to  $\mu_T \rightarrow \infty$  (JKR, bottom). Here  $a_c$  and  $a_p$  denote the contact radius at an arbitrary load  $F_N$  and the pull-off load  $F_p$ , respectively. Deviations between the Maugis solution and the exponential model are particularly obvious for the  $\mu_T = 1$  data set. Color coding:  $\mu_T = 4$  (red),  $\mu_T = 1$  (green), and  $\mu_T = 1/4$  (blue).

568 An analysis for the normal displacement, similar to the one presented in Fig. 15 but not shown  
 569 explicitly here, exhibits a similar trend. The exponent describing  $\Delta d = d - d_p$  as a function of  
 570  $\Delta F_N = F_N + F_p$  crosses over continuously from the DMT to the JKR limit as  $\mu_T$  increases. How-  
 571 ever, there is not a one-to-one relation between  $\mu_T$  and  $\kappa$ . In particular the data sets for  $\mu_T = 1$   
 572 show relatively large differences between the exponent in the MD model ( $\kappa \approx 0.469$ ) and the expo-  
 573 nential model ( $\kappa \approx 0.435$ ).

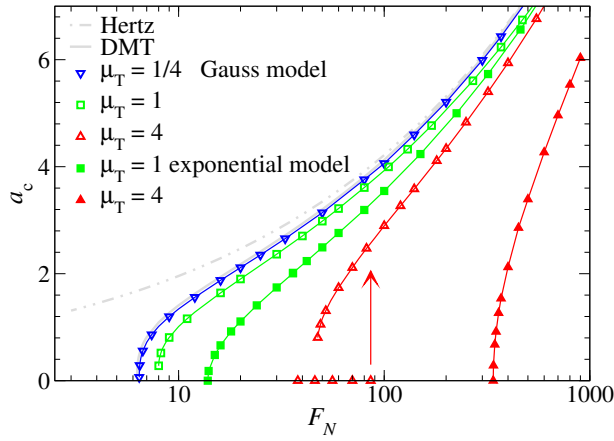
574 The insights obtained from Fig. 15 can be used, in principle, to further modify the COS approxima-  
 575 tions, e.g., by replacing the square-root in Eq. (48) by some other power or likewise by changing  
 576 the square-root and the exponent  $2/3$  on the r.h.s. of Eq. (47) in an appropriate fashion. When do-  
 577 ing so, the modified version of Eq. (48) does not only converge to the correct value at pull-off. It  
 578 can also be parameterized to yield the correct asymptotics near pull-off. This results in a further  
 579 reduction of the mean or overall error by a little more than a factor of two with respect to those  
 580 shown in Fig. 13, however, at the expense of one additional fit variable. Since the main new as-  
 581 pect of this study is concerned with negative work of adhesion and, moreover, both original and  
 582 modified COS equations are already quite accurate, a more detailed analysis of the adhesive single-  
 583 asperity contact is not pursued in this work.

## 584 Negative work of adhesion

585 For repulsive contacts,  $\Delta\gamma < 0$ , there is obviously no finite contact radius at zero normal load  
 586  $F_N = 0^+$ . The repelled rigid tip simply “hovers” at (infinitely) large distance over an undeformed

587 elastic manifold. This is why it is not possible in this case to conduct a zero-load analysis similar  
 588 to that presented for adhesive contacts. Since Maugis' solution has not yet been extended to re-  
 589 pulsive contacts, we are not in a position to compare our data to analytical solutions for negative  
 590  $\Delta\gamma$ . One of the consequences is that the asymptotic analysis must be based on GFMD data, except  
 591 for  $\mu_T \rightarrow 0$ , for which normal forces couple predominantly to long-wavelength modes so that the  
 592 Hertz-plus-offset approximation (DMT) should be accurate. Given the close similarity between the  
 593 exponential and the Maugis-Dugdale model as well as that between the Gauss and the VDW model  
 594 seen in the last section, the attention is restricted to one potential in each class, i.e., the exponential  
 595 and the Gauss model.

596 We start our analysis with the contact radius dependence on load. In analogy to the context of wet-  
 597 ting fluids, one may call the force at which a finite value of  $a_c$  becomes unstable upon lowering the  
 598 load the spontaneous wetting force  $F_{sw}$ . The force above which  $a_c$  can no longer be zero is called  
 599 the squeeze-out force  $F_{sq}$ . If the transition from contact to non-contact is continuous  $F_{sw} = F_{sq}$ , oth-  
 600 erwise  $F_{sw} < F_{sq}$ . Results are shown in Fig. 16.



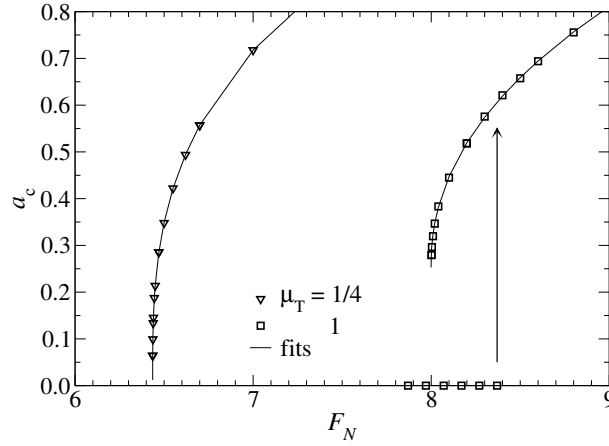
**Figure 16:** Contact radius  $a_c$  as a function of normal force  $F_N$  for the exponential (full symbols) and the Gauss (open symbols) model. Lines connect data points (not all shown explicitly). In the case of  $\mu_T = 4$  (Gauss model), an arrow indicates where the  $a_c = 0$  solution becomes unstable for increasing  $F_N$ . Color coding:  $\mu_T = 4$  (red),  $\mu_T = 1$  (green), and  $\mu_T = 1/4$  (blue).

601 As is the case for attractive interactions, the contact radius at small loads can be sensitive to both  
 602 the Tabor coefficient and the choice of the potential. Specifically, the exponential model always  
 603 shows a continuous transition from finite to zero contact radius (at least for the values of  $\mu_T$  in-  
 604 vestigated here), while the Gauss model has either a continuous transition below a critical Tabor  
 605 coefficient  $\mu_T^* \lesssim 1$  or a discontinuous transition for  $\mu_T > \mu_T^*$ . The discontinuity of the contact radius  
 606 for Gauss potentials and sufficiently large Tabor coefficients implies that two solutions may coex-  
 607 ist, i.e., one where the two surfaces are separated and one where they touch. However, once  $F_N$  ex-  
 608 ceeds a second threshold force  $F_{sq}(\mu_T)$ , i.e., the squeeze-out force, only one solution survives, that  
 609 is, the one with finite contact radius. This can be seen in analogy to adhesive contacts with  $\mu_T > 0$ ,  
 610 where two solutions coexist in a finite interval of forces  $-F_p \leq F_N \leq 0$ .  
 611 As for the  $a_c(F_N)$  relation near pull-off in the case of positive work of adhesion, the excess contact

radius,  $a_c - a_{sw}$ , depends as a power law on the excess force,  $F_N - F_{sw}$ , for  $F_N \gtrsim F_{sw}$ :

$$a_c - a_{sw} \propto (F_N - F_{sw})^\kappa. \quad (54)$$

Fits to the  $a_c(F_N)$  relation are shown exemplarily for two values of  $\mu_T$  in Fig. 17. Details about the fits to the presented as well as additional data are summarized in Table 1. As for attractive contacts, it is found that  $\kappa$  changes continuously from  $\kappa(\mu_T \rightarrow \infty) = 1/2$  to  $\kappa(\mu_T \rightarrow 0) = 1/3$ . For small  $\mu_T$ , Hertz-plus-offset behavior is reached as evidenced by the observation that  $c$  and  $F_{sw}$  approach  $(3/4)^{1/3}$  and  $2\pi$ , respectively. However,  $F_{sw}$  as well as  $F_{sq}$  quickly increase with  $\mu_T$  for  $\mu_T \geq 1$ . This latter behavior is different from that of the pull-off  $F_p$  force for attractive surfaces, which only varies between  $1.5\pi$  and  $2\pi$  in the present unit system. Since the increase of both  $F_{sw}$  and  $F_{sq}$  is much faster in the exponential model than in the Gauss model, one can conclude that the exponential model converges more quickly to the continuum model than the Gauss model.



**Figure 17:** Contact radius  $a_c$  as a function of normal force  $F_N$  in the vicinity of the spontaneous wetting force  $F_{sw}$ . Symbols reflect numerical results while lines are fits according to Eq. (54). They terminate at  $a_c(F_{sw})$ . In the case of  $\mu_T = 1$ , an arrow indicates where the  $a_c = 0$  solution becomes unstable with increasing  $F_N$ .

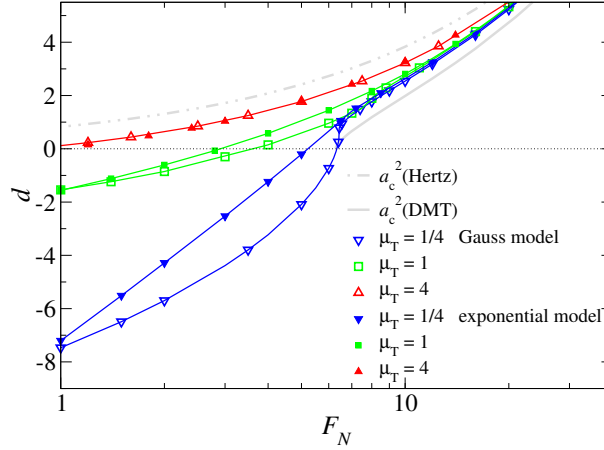
**Table 1:** Results of fits to the data shown in Fig. 16. The last digit may not be significant.

model	$\mu_T$	$a_{sw}$	$F_{sw}$	$F_{sq}$	$c$	$\kappa$
Gauss	1/16	0	6.30	6.30	0.91	0.333
	1/4	0.01	6.43	6.44	0.86	0.34
	1	0.25	8.00	8.40	0.56	0.46
	4	0.66	47.3	86	0.30	0.50
exponential	1/16	0	6.38	6.38	0.62	0.35
	1/4	0	6.89	6.89	0.68	0.44
	1	0	13.85	13.85	0.46	0.48
	4	0	339	339	0.28	0.49

As in the case of adhesive interactions, the normal displacement seems less sensitive to both the choice of the potential and the Tabor coefficient than the contact area, unless normal loads are very



625 small, i.e., at loads similar in magnitude or smaller than the squeeze-out load for  $\mu_T = 1$ . This is  
 626 demonstrated in Fig. 18. It reveals that information on the (effective) near-range surface interac-  
 627 tions at small separation are difficult to obtain from experimentally measured load-displacement  
 628 curves.



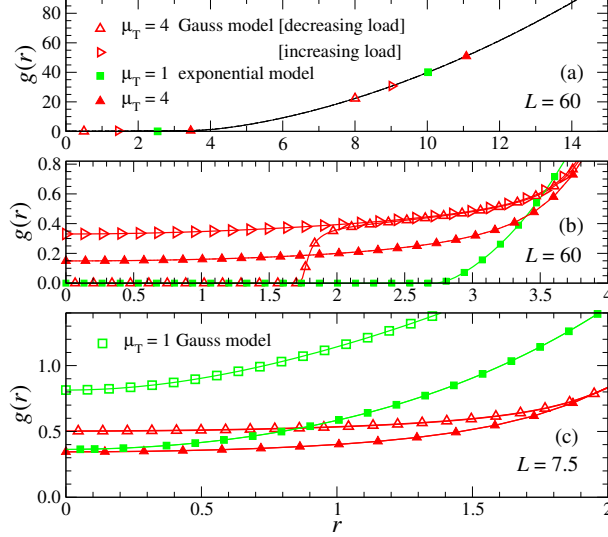
**Figure 18:** Displacement  $d$  as a function of normal force  $F_N$  in the vicinity of the spontaneous wetting force  $F_{sw}$ . Symbols reflect numerical results. The lines, which connect many data points not explicitly shown, are drawn to guide the eye. The two thick grey lines reflect the square of the contact radius in the Hertz and DMT approximation, respectively. Color coding:  $\mu_T = 4$  (red),  $\mu_T = 1$  (green), and  $\mu_T = 1/4$  (blue).

629 I conclude this section with an analysis of the gap profile for repulsive contacts. At large loads, dif-  
 630 ferent Tabor parameters and functional forms for finite-range repulsion yield gap profiles that are  
 631 indistinguishable at small magnification, see Fig. 19(a). Differences become nevertheless signifi-  
 632 cant at high resolution near the center of the contact. Particularly remarkable is the data set for the  
 633 Gauss model with  $\mu_T = 4$  and its bistability revealed in Fig. 19(b). For an increasing force, no con-  
 634 tact has formed at  $F_N = 7.5$ . However, when reaching  $F_N = 7.5$  from above, contact is formed for  
 635 radii  $r < a_c \approx 1.73$ . In the latter case, the gap then quickly increases within  $\Delta r \approx 0.1$  to an almost  
 636 constant value of order  $1/\mu_T$  for  $r \gtrsim a_c$ , as if one had a single confined layer of liquid. For radii  
 637  $r > a_c^{\text{macro}}$ , the gap assumes the “macroscopic” behavior. Here,  $a_c^{\text{macro}} \approx 4$  is the contact radius that  
 638 one would ascertain from the analysis of the gap with low resolution, e.g., via graphical inspection  
 639 of Fig. 19(a).

640 At small loads, the sensitivity of the gap profile on the details of the model become even more ap-  
 641 parent. This result, which can be seen in Fig. 19(c), is expected, since the elasticity of the tip is no  
 642 longer relevant. Instead, the force-displacement curve is predominantly determined by the effective  
 643 surface interactions, as shown clearly by the  $\mu_T = 4$  data sets in Fig. 18. They exhibit, to leading  
 644 order, a  $F_N \propto \exp(-d/\zeta)$  relation in the exponential model, and a  $F_N \propto \exp(-d^2/\zeta^2)$  relation for  
 645 the Gauss model, where  $\zeta$  is inversely proportional to  $\mu_T$ .

## 646 Conclusions

647 The principle new aspect of this work is the continuum-mechanics based analysis of single-asperity  
 648 contacts with finite-range repulsion acting in addition to short-range hard-wall repulsion. The anal-

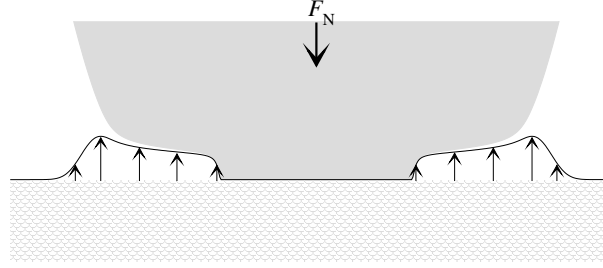


**Figure 19:** Gap  $g(r)$  as a function of the lateral distance from the origin  $r$  for a large load  $F_N = 60$  (a) and (b) as well as for an intermediate load  $F_N = 7.5$  (c). In each case, surfaces repel each other. Graph (b) contains the same data as (a) but has higher resolution. Color coding:  $\mu_T = 4$  (red) and  $\mu_T = 1$  (green).

649 ysis is based on the concept of the Tabor coefficient and the repulsion is assumed to arise due to  
650 the presence of a strongly wetting fluid. As for attractive single-asperity contacts, it is found that  
651 the contact area or the displacement on the normal load depend, to a large degree, not only on the  
652 surface energy but also on the Tabor coefficient  $\mu_T$ . Moreover, for  $\mu_T$  exceeding a critical value,  
653 there may exist a range of loads in which two (meta-) stable solutions coexist, i.e., one in which  
654 the surfaces touch and one in which a thin gap between the two surfaces remains. When the value  
655 for the load is increased above a threshold, the latter solution becomes unstable and the gap dis-  
656 appears. However, in order to obtain this kind of behavior, which is reminiscent of the squeeze-  
657 out of a wetting fluid, the finite-range interactions between the contacting surfaces have to be tai-  
658 lored correctly. Using a surface interaction  $v_{fr}$ , whose derivative increases monotonically as the  
659 gap  $g$  approaches zero, such as  $v_{fr} \propto \exp(-g/z_0)$ , only one stable solution exists for any given  
660 normal load. Conversely, when the distance-force dependence is multi-valued, as is the case for  
661 a  $v_{fr} \propto \exp(-g^2/2z_0^2)$  relation, squeeze-out and spontaneous wetting can be rationalized and thus  
662 be modeled in the realm of continuum mechanics — in terms of transitions between (meta)stable  
663 solutions. These transitions (similar to instabilities in the Prandtl model [26], in which a particle  
664 is dragged with a weak spring through a sinusoidal potential) can occur for solvated tips on sur-  
665 faces, for example, if the effective tip-surface interactions has zero slope when the surfaces touch,  
666 as is the case for  $v_{fr} \propto \exp(-g^2/2z_0^2)$ . In reality, the far-field potential may even be oscillatory and  
667 evidenced by the squeeze-out of many subsequent layers. Such behavior has been recently ob-  
668 served and linked to the (damped) long-range oscillatory behavior of the density correlations in  
669 high-density liquids [15,19].

670 An interesting consequence of short-range repulsion is that the contact geometry can look similar  
671 to that of an adhesive neck. This is shown in Fig. 19(b) for the ( $\mu_T = 4$ ) Gauss model and decreas-

672 ing load. To improve the visualization, a similar gap geometry is shown again in Fig. 20 together  
 673 with a profile of the finite-range repulsion.



**Figure 20:** Contact geometry for a Gauss model with finite-range repulsion. Arrows indicate the direction of normal load  $F_N$  (thick arrow) and that of the finite-range repulsion (thin arrows) acting in addition to a hard-wall constraint. No adhesive forces between the surfaces are considered.

674 A secondary aspect of this work is devoted to the analysis of how to best reach well-defined  
 675 asymptotic behavior in numerical simulations of adhesive contact mechanics. It is found that the  
 676 DMT limit is approached quickest when using attractive potentials whose first derivative disap-  
 677 pears as the gap goes to zero, at least if the contact area is the variable of interest. However, these  
 678 potentials approach the JKR limit only at a rate of  $1/\mu_T$  for large  $\mu_T$  and the contact area becomes  
 679 difficult to define once  $\mu_T \gtrsim 1$ . Thus, one is better off using potentials with finite slope in the small-  
 680 gap limit. They converge in a well-defined fashion with  $1/\mu_T^2$  to the JKR limit for large Tabor co-  
 681 efficients. This is supposedly the more relevant limit for adhesive surfaces with self-affine fractal  
 682 roughness. For the modeling of repulsive surfaces, the situation is more complicated. Formally,  
 683 the JKR limit is again reached more quickly with models that have finite slope at zero gap. How-  
 684 ever, these models do not allow one to model the hysteretic response of a confined fluid that results  
 685 whenever the squeeze-out force exceeds the spontaneous wetting force.

686 A by-product of this work is a minor modification of the phenomenological description of single-  
 687 asperity contact mechanics by Carpick, Ogletree, and Salmeron [1]. The COS equations can be  
 688 parametrized to contain the correct asymptotic behavior for JKR and for DMT limits and also  
 689 for the superposition of extremely short- and long-range interfacial interactions, as shown by  
 690 Schwarz [2]. However, they still have a few formal shortcomings for intermediate-range poten-  
 691 tials. For example, the original interpolation of the contact-area-on-load dependence for finite Ta-  
 692 bor coefficients recuperates neither Hertzian contact mechanics at large loads with correct pref-  
 693 actors nor the correct contact radii in either DMT or JKR limit at zero normal loads. In this work,  
 694 I propose to enforce those limits exactly including the correct asymptotics for  $a_c(\mu_T, F_N = 0)$  at  
 695  $\mu_T = 0$  and  $\mu_T \rightarrow \infty$ . By doing so, the maximum error of the  $a_c(F_N = 0, \mu_T)$  curve could be re-  
 696 duced from 1.2% to less than 0.3%. A shortcoming of both the original and the new, modified COS  
 697 equations is that they both assume an asymptotic behavior near pull-off ( $F_N \rightarrow -F_p$ ) according  
 698 to  $(a - a_p) \propto (F_p + F_N)^\kappa$ , where the exponent takes the JKR value  $\kappa = 2/3$  for any non-zero Ta-  
 699 bor coefficient. The modified COS equations could thus be improved further if one incorporated  
 700 the new finding that  $\kappa$  crosses over continuously from  $2/3$  (exact for JKR) to  $1/2$  (exact for DMT).  
 701 However, this does not seem useful in practice. Extreme accuracies (5 digits and more for  $a_c$  and  
 702  $F_N$ ) would be needed in measurements to deduce  $a_p$  and  $\kappa$  to within one or two digits. Such an ac-  
 703 curacy is difficult to achieve both experimentally and numerically. Moreover, the surface energy

704 is not very well defined at small scales, because its precise value depends crucially on roughness  
705 down to the atomic scale, see, e.g., Ref. [27]. Thus, from a practical point of view, both the origi-  
706 nal and the modified COS equations are quite reasonable, all the more because the geometry of real  
707 tips can deviate quite substantially from a parabola.

708 This work is concluded with an assessment of what values for  $\mu_T$  one might expect in AFM or SFA  
709 experiments. To come up with a ballpark estimate, the following “typical values” shall be assumed:  
710  $\Delta\gamma = 40$  mN ( $\Delta\gamma$  can, of course, be close to zero, but much higher, e.g., for two equally charged  
711 surfaces in the context of electrochemistry),  $E = 5$  GPa (in between soft matter and ceramics),  
712  $z_0 = 10$  Å (size of an OMCTS or molten salt molecule),  $R = 1$  μm (in between AFM and SFA,  
713 precise value not very important, as third root is taken). These numbers lead to  $\mu_T = 0.4$ , which is  
714 close to the interesting “cross-over” regime. Thus, real contacts may span a broad range of values  
715 for  $\mu_T$ . Comparison between theory and experiment may be difficult, in particular because atomic-  
716 scale roughness (or even sub-atomic roughness arising from electron orbitals) leads to complicated  
717 slip-boundary conditions and slow kinetics. However, given a well-motivated form for the effective  
718 interaction between two flat surfaces, it may yet be possible to rationalize and to model, at least on  
719 a semi-quantitative level, the interactions of curved surfaces in the presence of a strongly wetting  
720 fluid within the presented Tabor-coefficient based framework. Particularly appealing systems may  
721 be found in tribo-electrochemical applications, where the surface interactions can be tailored in a  
722 quasi-continuous fashion.

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